UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	209212US0
First Inventor or Application Identifier	Yoshihiko YANO, et al.
Title	EL FLUORESCENT MULTILAYER THIN FILM AND EL DEVICE
Assignee Name:	TDK CORPORATION
Assignee Address:	1-13-1, Nihonbashi, Chuo-ku, Tokyo, 103-8272, Japan

JC885 U.S. PTO 05/30/01

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ■ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ■ Specification — Total Sheets: 20
3. ■ Drawing(s) *(35 U.S.C. 113)* — Total Sheets: 5
4. □ Oath or Declaration — Total Pages:
 a. □ Newly executed (original or copy)
 b. □ Copy from a prior application (37 C.F.R, §1.63(d)) (for continuation / divisional w/ box 17 completed)
 i. □ DELETION OF INVENTOR(S)
 Signed statement attached deleting inventor(s) named in the prior application, see *37 C F R. §1.63(d)(2) and 1.33(b)*
5. □ CD-ROM or CD-R in duplicate, large table or Computer Program (*Appendix*)
6. □ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all necessary)*
 a. □ Computer Readable Form (CRF)
 b. Specification or Sequence Listing on:
 i. □ CD-ROM or CD-R (2 copies); or
 ii. □ Paper
 c. □ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. □ Assignment Papers (cover sheet & document(s))
8. ■ Application Data Sheet. See 37 CFR 1.76
9. □ 37 C.F.R. §3.73(b) Statement *(when there is an assignee)* □ Power of Attorney
10. □ English Translation Document *(if applicable)*
11. □ Information Disclosure Statement (IDS)/PTO-1449 □ Copies of IDS Citations
12. □ Preliminary Amendment
13. ■ White Advance Serial No. Postcard
14. □ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*
15. □ Applicant claims small entity status. *See 37 CFR 1 27*
16. ■ Other: Notice of Priority, List of Inventors' Names and Addresses, Statement Re Filing in Foreign Language

JC997 U.S. PTO 09/866691 05/30/01

17. If a CONTINUING APPLICATION, *check appropriate box, and supply the requisite information below*
□ Continuation □ Divisional □ Continuation-in-part (CIP) of prior application no.:
Prior application information: Examiner: Group Art Unit:
For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts

18. Amend the specification by inserting before the first line the sentence:
□ This application is a □ Continuation □ Division □ Continuation-in-part (CIP)
of application Serial No. Filed on
□ Which was published in English
□ Which was not published in English
□ This application claims priority of provisional application Serial No. Filed

19. CORRESPONDENCE ADDRESS

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Name:	Norman F. Oblon	Registration No.:	24,618
Signature:		Date:	5/30/01
Name:	C. Irvin McClelland	Registration No.:	
	Registration Number 21,124		

Docket No. 209212US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTOR(S) Yoshihiko YANO, et al.

SERIAL NO: New Application

FILING DATE: Herewith

FOR: EL FLUORESCENT MULTILAYER THIN FILM AND EL DEVICE

FEE TRANSMITTAL

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	8 - 20 =	0	× $18 =	$0.00
INDEPENDENT CLAIMS	1 - 3 =	0	× $80 =	$0.00
☐ MULTIPLE DEPENDENT CLAIMS (If applicable)			+ $270 =	$0.00
■ LATE FILING OF DECLARATION			+ $130 =	$130.00
			BASIC FEE	$710.00
			TOTAL OF ABOVE CALCULATIONS	$840.00
☐ REDUCTION BY 50% FOR FILING BY SMALL ENTITY				$0.00
■ FILING IN NON-ENGLISH LANGUAGE			+ $130 =	$130.00
☐ RECORDATION OF ASSIGNMENT			+ $40 =	$0.00
			TOTAL	$970.00

Please charge Deposit Account No. 15-0030 in the amount of A duplicate copy of this sheet is enclosed.

A check in the amount of **$970.00** to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Date: 5/30/01

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Docket No. 209212US0

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Yoshihiko YANO, et al.

SERIAL NO. NEW APPLICATION

FILING DATE: HEREWITH

FOR: EL FLUORESCENT MULTILAYER THIN FILM AND EL DEVICE

STATEMENT RE FILING IN FOREIGN LANGUAGE

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

It is hereby stated that the subject application is being filed in a foreign language, in accordance with the provisions of 37 CFR 1.52(d).

An accurate English translation, and a suitable amendment placing the application and claims thereof into proper U.S. format if needed, will be filed in due course.

Respectfully Submitted,

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